

*Co/algebraic essentials*  
*and their impact on languages and compilers*

Peter Padawitz  
TU Dortmund

February 3, 2011

## Road map

1	Constructor and destructor signatures, <i>Reg</i> and <i>Accept</i>	3
2	Signatures induce functors with fixpoints	11
3	Invariants and induction, congruences and coinduction	15
4	Context-free grammars are constructor signatures	17
5	Derivative parser for regular expressions	20
6	Context-free grammars are systems of <i>Reg</i> -equations	22
7	Extending the derivative parser to parsers for CFGs	24

## Constructor and destructor signatures, *Reg* and *Accept*

Let  $S$  be a set of **sorts**. An  $S$ -sorted set  $A$  is a family  $\{A_s \mid s \in S\}$  of sets.

An  $S$ -sorted function  $f : A \rightarrow B$  is a family  $\{f_s : A_s \rightarrow B_s \mid s \in S\}$  of functions.

Given  $BS \subseteq S$  and a  $BS$ -sorted set  $BA$ ,  $Set_{BA}^S$  denotes the category whose objects are pairs  $(A, f : A|_{BS} \xrightarrow{\sim} BA)$  consisting of an  $S$ -sorted set  $A$  and a  $BS$ -sorted bijection  $f$  and whose morphisms from  $(A, f)$  to  $(B, g)$  are  $S$ -sorted functions  $h : A \rightarrow B$  such that for all  $s \in BS$ ,  $g_s \circ h_s = f_s$ .

For all  $s_1, \dots, s_n, s, s' \in S$ ,

$$A_1 =_{def} \{*\},$$

$$A_{s_1 \times \dots \times s_n} =_{def} A_{s_1} \times \dots \times A_{s_n} =_{def} \{(a_1, \dots, a_n) \mid a_i \in A_{s_i}, 1 \leq i \leq n\},$$

$$A_{s_1 + \dots + s_n} =_{def} A_{s_1} + \dots + A_{s_n} =_{def} \{(a, i) \mid a \in A_{s_i}, 1 \leq i \leq n\},$$

$$A_{s s'} =_{def} (A_{s'} \rightarrow A_s).$$

The set of **signatures** is defined inductively as follows:

- $\Sigma = (S, F)$  is a signature if  $S$  is a set of **sorts** and  $F$  is an  $S^* \times S^+$ -sorted set of function symbols.
- $\Sigma = (S, F, B\Sigma)$  is a signature if  $B\Sigma$  is a signature, called the **base signature of  $\Sigma$** , and  $(S, F)$  is a signature such that  $S$  and  $F$  contain the sorts resp. function symbols of the base signature.

$f : v \rightarrow w \in F \setminus BF$  is a **constructor** if  $w \in S \setminus BS$ .  $f$  is a **destructor** if  $v \in S \setminus BS$ .

$\Sigma$  is a **constructor signature** if  $F \setminus BF$  consists of constructors.  $S$  and  $F$  implicitly include **sum sorts**  $s_1 + \dots + s_n$  and **injections**  $\iota_i : s_i \rightarrow s_1 + \dots + s_n$  for all  $s_1, \dots, s_n \in S$ .

$\Sigma$  is a **destructor signature** if  $F \setminus BF$  consists of destructors.  $S$  and  $F$  implicitly include **product sorts**  $s_1 \times \dots \times s_n$ , **projections**  $\pi_i : s_1 \times \dots \times s_n \rightarrow s_i$ , **power sorts**  $s^{s'}$  and **applications**  $\$a : s^{s'} \rightarrow s$  for all  $s_1, \dots, s_n, s \in S, s' \in BS, a \in BA_{s'}$  and  $BS$ -sorted sets  $BA$ .

## A signature for regular expressions

$$\begin{aligned} \text{Reg} &= ( S, F, B\Sigma ) \\ &= ( \{ \text{reg}, \text{symbol} \}, \\ &\quad \{ \emptyset, \epsilon : \epsilon \rightarrow \text{reg}, \\ &\quad \_ : \text{symbol} \rightarrow \text{reg}, \\ &\quad \_ | \_ : \text{reg } \text{reg} \rightarrow \text{reg}, \\ &\quad \_ \cdot \_ : \text{reg } \text{reg} \rightarrow \text{reg}, \\ &\quad \text{star} : \text{reg} \rightarrow \text{reg} \}, \\ &\quad ( \{ \text{symbol} \}, \emptyset ) ) \end{aligned}$$

## A signature for acceptors

$$\begin{aligned} \text{Accept} &= ( S, F, B\Sigma ) \\ &= ( \{ \text{state}, \text{symbol}, \text{bool} \}, \\ &\quad \{ \delta : \text{state} \rightarrow \text{state}^{\text{symbol}}, \\ &\quad \text{final} : \text{state} \rightarrow \text{bool} \}, \\ &\quad ( \{ \text{symbol}, \text{bool} \}, \emptyset ) ) \end{aligned}$$

Let  $\Sigma = (S, F, B\Sigma)$  be a signature,  $X$  be an  $S$ -sorted set of **variables** and  $Y$  be an  $S$ -sorted set of **covariables**.

The  $S$ -sorted set  $T_\Sigma(X)$  of  $\Sigma$ -**terms over**  $X$  is inductively defined as follows:

- For all  $s \in S$ ,  $X_s \subseteq T_\Sigma(X)_s$ .
- For all  $f : s_1 \dots s_n \rightarrow s \in F$  and  $t_i \in T_\Sigma(X)_{s_i}$ ,  $1 \leq i \leq n$ ,  $f\langle t_1, \dots, t_n \rangle \in T_\Sigma(X)_s$ .

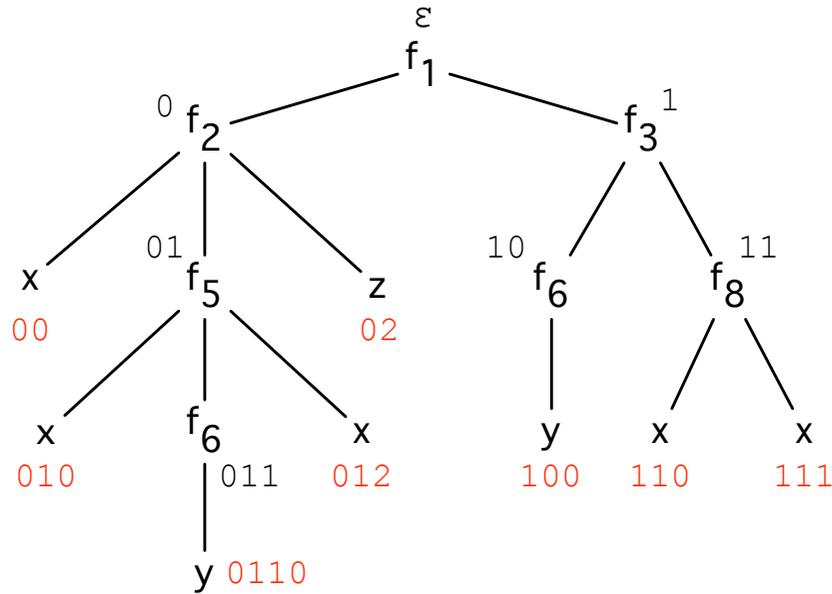
Given  $t \in T_\Sigma(X)$ ,  $\mathit{var}(t)$  denotes the set of variables occurring in  $t$ .

The  $S$ -sorted set  $\mathit{coT}_\Sigma(Y)$  of  $\Sigma$ -**coterms over**  $X$  is inductively defined as follows:

- For all  $s \in S$ ,  $Y_s \subseteq \mathit{coT}_\Sigma(Y)_s$ .
- For all  $f : s \rightarrow s_1 \dots s_n \in F$  and  $t_i \in \mathit{coT}_\Sigma(Y)_{s_i}$ ,  $1 \leq i \leq n$ ,  $[t_1, \dots, t_n]f \in \mathit{coT}_\Sigma(Y)_s$ .

Given  $t \in \mathit{coT}_\Sigma(Y)$ ,  $\mathit{cov}(t)$  denotes the set of covariables occurring in  $t$ .

A term resp. cotermin  $t$  over  $\mathbb{N}^*$  such that all function symbols of  $t$  belong to  $F \setminus BF$  and for all  $x \in \mathit{var}(t) \cup \mathit{cov}(t)$ ,  $\mathit{sort}(x) \in BS$  and  $t(x) = x$ , is called a  $\Sigma$ -**generator** resp.  **$\Sigma$ -observer**.



The tree representing the term  $f_1\langle f_2\langle x, f_5\langle x, f_6\langle y\rangle, x\rangle, z\rangle, f_3\langle f_6\langle y\rangle, f_8\langle x, x\rangle\rangle\rangle$   
 or the coterm  $[[[x, [x, [y]f_6, x]f_5, z]f_2, [[y]f_6, [x, x]f_8]f_3]f_1$

 The *Reg*-terms over  $X_{symbol}$  are the regular expressions over  $X_{symbol}$ .

 For each *Accept*-observer  $t$  there are  $a_1, \dots, a_n \in Z =_{def} BA_{symbol}$  such that

$$\begin{aligned}
 t &= parse(\_, a_1 \dots a_n) =_{def} final(\delta^*(\_, a_1 \dots a_n)) \\
 &=_{def} final(\delta(\dots(\delta(\delta(\_, a_1), a_2), \dots), a_n)) =_{def} [[\dots [[x]final]\delta\$a_n] \dots ]\delta\$a_2]\delta\$a_1.
 \end{aligned}$$

Hence  $t$  is representable by  $a_1 \dots a_n \in Z^*$ .

A  $\Sigma$ -algebra  $A$  consists of an  $S$ -sorted set, the **carrier** of  $A$ , also denoted by  $A$ , and

- for each  $f : w \rightarrow s_1 \dots s_n \in F$ , a function  $f^A : A_w \rightarrow A_{s_1+\dots+s_n}$ ,

such that

- for all injections  $\iota_i : s_i \rightarrow s_1 + \dots + s_n$ ,  $a \in A_{s_i}$ ,  $\iota_i^A(a) = (a, i)$ ,
- for all projections  $\pi_i : s_1 \times \dots \times s_n \rightarrow s_i$  and  $a \in A_{s_1 \times \dots \times s_n}$ ,  $\pi_i^A(a) = a_i$ ,
- for all applications  $\$a : s^{s'} \rightarrow s$  and  $f : A_{s'} \rightarrow A_s$ ,  $(\$a)^A(f) = f(a)$ .

 The regular expressions over  $BA_{symbol}$  form the *Reg*-algebra  $T_{Reg}(BA)$ .

 The languages over  $BA_{symbol}$  form the *Reg*-algebra  $Lang(BA)$ .

 Let  $BA_{bool} = 2$ .  $Lang(BA)$  is also an *Accept*-algebra: For all  $L \subseteq Z^*$  and  $a \in Z$ ,

$$\begin{aligned} Lang(BA)_{state} &=_{def} \mathcal{P}(Z^*), \\ \delta^{Lang(BA)}(L, a) &=_{def} \{w \in Z^* \mid aw \in L\}, \\ final^{Lang(BA)}(L) &=_{def} (\epsilon \in L). \end{aligned}$$

The *Accept*-subalgebra

$$\langle L \rangle =_{def} \{(\delta^*)^{Lang(BA)}(L, w) \mid w \in Z^*\}$$

is a minimal acceptor of  $L$ .

Let  $A$  and  $B$  be  $\Sigma$ -algebras,  $h : A \rightarrow B$  be an  $S$ -sorted function and  $f \in F$ .

$h$  is a  $\Sigma$ -**homomorphism** if for all  $f \in F$ ,

$$h \circ f^A = f^B \circ h.$$

Let  $BA$  be a  $B\Sigma$ -algebra.

A  $\Sigma \downarrow BA$ -**algebra**  $(A, g)$  is a pair consisting of a  $\Sigma$ -algebra  $A$  and a  $B\Sigma$ -isomorphism  $g : A|_{B\Sigma} \rightarrow BA$ .

Given  $\Sigma \downarrow BA$ -algebras  $(A, f)$  and  $(B, g)$ , a  $\Sigma$ -homomorphism  $h : A \rightarrow B$  is a  $\Sigma \downarrow BA$ -**homomorphism** if  $g \circ h|_{\Sigma} = f$ .

$Alg_{\Sigma \downarrow BA}$  denotes the category of  $\Sigma \downarrow BA$ -algebras and  $\Sigma \downarrow BA$ -homomorphisms.

**Term evaluation**  $\_{}^A : T_\Sigma(X) \rightarrow (A^X \rightarrow A)$  is inductively defined as follows:

Let  $g \in A^X$ .

- For all  $x \in X$ ,  $x^A(g) = g(x)$ .
- For all  $f : s_1 \dots s_n \rightarrow s \in F \setminus BF$  and  $t_i \in T_\Sigma(X)_{s_i}$ ,  $1 \leq i \leq n$ ,

$$(f\langle t_1, \dots, t_n \rangle)^A(g) = f^A(t_1^A(g), \dots, t_n^A(g)).$$

 For all regular expressions  $R \in T_{Reg}(BA)$ ,  $R^{Lang(BA)}(id_{BA})$  is the language of  $R$ .

**Coterm evaluation**  $\_{}^A : coT_\Sigma(Y) \rightarrow (A \rightarrow A \cdot Y)$  is inductively defined as follows:

- For all  $s \in S$ ,  $x \in Y_s$  and  $a \in A_s$ ,  $x^A(a) = (a, x)$ .
- For all  $f : s \rightarrow s_1 \dots s_n \in F \setminus BF$ ,  $t_i \in coT_\Sigma(X)_{s_i}$ ,  $1 \leq i \leq n$ , and  $a \in A_s$ ,

$$f^A(a) = (b, i) \Rightarrow ([t_1, \dots, t_n]f)^A(a) = t_i^A(b).$$

 For all *Accept*-observers  $parse(\_, a_1 \dots a_n)$  and  $L \subseteq Z^*$ ,

$$parse(\_, a_1 \dots a_n)^{Lang(BA)}(L) = (a_1 \dots a_n \in L).$$

## Signatures induce functors with fixpoints

Let  $BS$  be the sorts of  $B\Sigma$  and  $BA$  be a  $BS$ -sorted set.

If  $\Sigma$  is a **constructor signature**, then  $\Sigma$  and  $BA$  induce the functor  $\Sigma_{BA} : Set_{BA}^S \rightarrow Set_{BA}^S$ :  
For all  $A \in Set_{BA}^S$  and  $s \in S$ ,

$$\Sigma_{BA}(A)_s =_{def} \begin{cases} \prod_{f:s_1\dots s_n \rightarrow s \in F} (A_{s_1} \times \dots \times A_{s_n}) & \text{if } s \in S \setminus BS, \\ A_s & \text{if } s \in BS. \end{cases}$$

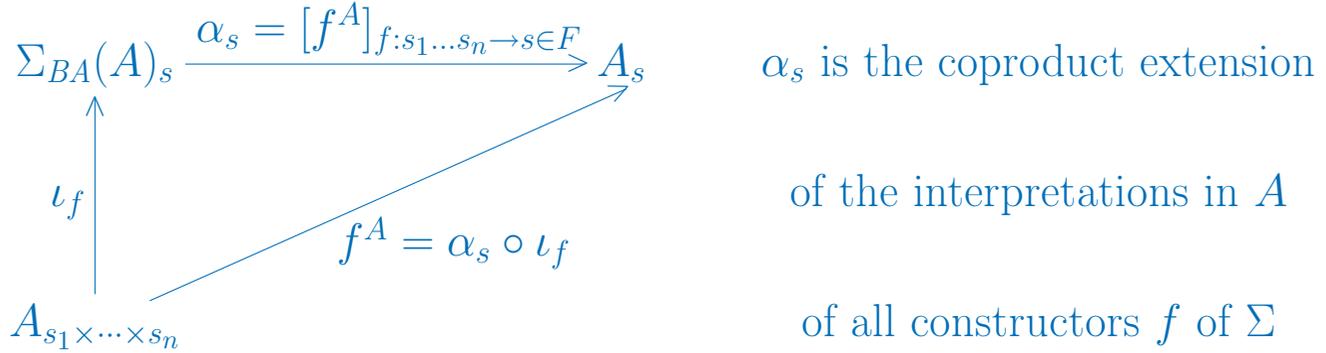
  $Reg_{BA}(A)_{reg} =_{def} 1 + 1 + BA_{symbol} + A_{reg}^2 + A_{reg}^2 + A_{reg}.$

If  $\Sigma$  is a **destructor signature**, then  $\Sigma$  and  $BA$  induce the functor  $\Sigma_{BA} : Set_{BA}^S \rightarrow Set_{BA}^S$ :  
For all  $A \in Set_{BA}^S$  and  $s \in S$ ,

$$\Sigma_{BA}(A)_s =_{def} \begin{cases} \prod_{f:s \rightarrow s_1\dots s_n \in F} (A_{s_1} + \dots + A_{s_n}) & \text{if } s \in S \setminus BS, \\ A_s & \text{if } s \in BS. \end{cases}$$

  $Accept_{BA}(A)_{state} =_{def} A_{state}^{BA_{symbol}} \times 2.$

A  $\Sigma_{BA}$ -algebra  $\Sigma_{BA}(A) \xrightarrow{\alpha} A$  is an  $S$ -sorted function and uniquely corresponds to a  $\Sigma \downarrow BA$ -algebra  $A$ : For all  $s \in S \setminus BS$  and  $f : s_1 \dots s_n \rightarrow s \in F \setminus BF$ ,



Since  $\Sigma_{BA}$  preserves colimits of increasing  $\omega$ -chains, the category  $Alg_{\Sigma_{BA}}$  of  $\Sigma_{BA}$ -algebras has an initial object  $ini : \Sigma_{BA}(\mu\Sigma_{BA}) \xrightarrow{\sim} \mu\Sigma_{BA}$  and thus a fixpoint of  $\Sigma_{BA}$ .

For all  $s \in S \setminus BS$ ,  $\mu\Sigma_{BA,s} = \coprod_{t \in Gen_{\Sigma,s}} BA^{var(t)}$ .

 The  $Reg$ -algebra  $T_{Reg}(BA)$  of regular expressions over  $BA_{symbol}$  is initial in  $Alg_{Reg \downarrow BA}$ :

$$(\mu Reg_{BA})_{reg} = \coprod_{t \in Gen_{Reg,reg}} BA^{var(t)} = T_{Reg}(BA).$$

A  $\Sigma_{BA}$ -coalgebra  $A \xrightarrow{\alpha} \Sigma^{BA}(A)$  is an  $S$ -sorted function and uniquely corresponds to a  $\Sigma \downarrow BA$ -algebra  $A$ : For all  $s \in S \setminus BS$  and  $f : s \rightarrow s_1 \dots s_n \in F \setminus BF$ ,

$$\begin{array}{ccc}
 A_s & \xrightarrow{\alpha_s = \langle f^A \rangle_{f:s \rightarrow s_1 \dots s_n \in F}} & \Sigma_{BA}(A)_s & \alpha_s \text{ is the product extension} \\
 & \searrow & \downarrow \pi_f & \text{of the interpretations in } A \\
 & & A_{s_1 + \dots + s_n} & \text{of all destructors } f \text{ of } \Sigma
 \end{array}$$

$f^A = \pi_f \circ \alpha_s$

Since  $\Sigma_{BA}$  preserves limits of decreasing  $\omega$ -chains, the category  $coAlg_{\Sigma_{BA}}$  of  $\Sigma^{BA}$ -algebras has a final object  $fin : \nu \Sigma_{BA} \xrightarrow{\sim} \Sigma_{BA}(\nu \Sigma_{BA})$  and thus a fixpoint of  $\Sigma_{BA}$ .

For all  $s \in S \setminus BS$ ,  $\nu \Sigma_{BA,s} \subseteq \prod_{t \in Obs_{\Sigma,s}} (BA \times cov(t))$ .

 Let  $BA_{bool} = 2$ . The *Accept*-algebra  $Lang(BA)$  of languages over  $BA_{symbol}$  is final in  $Alg_{Accept \downarrow BA}$ :

$$\begin{aligned}
 (\nu Accept_{BA})_{state} &= \prod_{t \in Obs_{Accept,state}} (BA_{Bool} \times \{x\}) = \prod_{t \in Obs_{Accept,state}} BA_{Bool} = 2^{BA_{symbol}^*} \\
 &= \mathcal{P}(BA_{symbol}^*) = Lang(BA)_{state}.
 \end{aligned}$$

Given  $(A, g) \in \text{Alg}_{\Sigma \downarrow BA}$ , the unique  $\Sigma \downarrow BA$ -homomorphism  $\text{fold}^A : \mu\Sigma_{BA} \rightarrow A$  is defined as follows: For all  $s \in S$  and  $t \in T_{\Sigma}(BA)_s$ ,

$$\text{fold}_s^A(t) = \begin{cases} t^A(\text{id}_A) & \text{if } s \in S \setminus BS, \\ g^{-1}(t) & \text{if } s \in BS. \end{cases}$$

Given  $(A, g) \in \text{Alg}_{\Sigma \downarrow BA}$ , the unique  $\Sigma \downarrow BA$ -homomorphism  $\text{unfold}^A : A \rightarrow \nu\Sigma_{BA}$  is defined as follows: For all  $s \in S$  and  $a \in A_s$ ,

$$\text{unfold}_s^A(a) = \begin{cases} (t^A(a))_{t \in \text{Obs}_{\Sigma, s}} & \text{if } s \in S \setminus BS, \\ g(a) & \text{if } s \in BS. \end{cases}$$

## Invariants and induction, congruences and coinduction

Let  $\Sigma = (S, F, B\Sigma)$  be a **constructor signature** and  $A$  be a  $\Sigma \downarrow BA$ -algebra.

An  $S$ -sorted subset  $inv$  of  $A$  is a  **$\Sigma$ -invariant** or  **$\Sigma$ -subalgebra of  $A$**  if for all  $f : w \rightarrow s \in F \setminus BF$  and  $a \in A_w$ ,

$$a \in inv \quad \text{implies} \quad f^A(a) \in inv,$$

and for all  $s \in BS$ ,  $inv_s = A_s$ .

Let  $A = \mu\Sigma_{BA}$  and  $B \in Alg_{\Sigma \downarrow BA}$ . Since  $A$  is initial,

- (1)  $A$  is the only  $\Sigma$ -invariant of  $A$ ,
- (2)  $image(fold^B)$  is the least  $\Sigma$ -invariant of  $B$ .

By (1), **induction is sound**: Let  $R \subseteq A$ .

$$\begin{aligned} A \subseteq R &\iff inv \subseteq R \text{ for some } \Sigma\text{-invariant } inv \text{ of } A \\ &\iff \text{least } \Sigma\text{-invariant of } A = \bigcap \{inv \mid inv \text{ is a } \Sigma\text{-invariant } inv \text{ of } A\} \subseteq R \end{aligned}$$

 Since  $T_{Reg}(BA) = \mu Reg_{BA}$ , induction justifies the inductive definition of a function on regular expressions.

Let  $\Sigma = (S, F, B\Sigma)$  be a **destructor signature** and  $A$  be a  $\Sigma \downarrow BA$ -algebra.

An  $S$ -sorted binary relation  $\sim$  is a  **$\Sigma$ -congruence on  $A$**  if for all  $f : s \rightarrow w \in F \setminus BF$  and  $a, b \in A_s$ ,

$$a \sim b \quad \text{implies} \quad f^A(a) \sim f^A(b),$$

and for all  $s \in BS$ ,  $\sim_s = \Delta_{A,s}$ .

Let  $A = \nu\Sigma_{BA}$  and  $B \in \text{Alg}_{\Sigma \downarrow BA}$ . Since  $A$  is final,

- (1)  $\Delta_A$  is the only  $\Sigma$ -congruence on  $A$ ,
- (2)  $\text{kernel}(\text{unfold}^B)$  is the greatest  $\Sigma$ -congruence on  $B$ .

By (1), **coinduction is sound**: Let  $R \subseteq A \times A$ .

$$\begin{aligned} R \subseteq \Delta_A &\iff R \subseteq \sim \text{ for some } \Sigma\text{-congruence } \sim \text{ on } A \\ &\iff R \subseteq \text{greatest } \Sigma\text{-congruence on } A \\ &= \cup \{ \sim \mid \sim \text{ is a } \Sigma\text{-congruence } \sim \text{ on } A \} \end{aligned}$$

 Since  $\text{Lang}(BA) = \nu\text{Accept}_{BA}$ , coinduction provides a method for proving that two given languages agree with each other.

## Context-free grammars are constructor signatures

A **context-free grammar (CFG)**  $G = (S, Z, P, B\Sigma, BG)$  consists of

- a signature  $B\Sigma = (BS, BF, B\Sigma')$ ,
- a  $B\Sigma$ -Algebra  $BG$ ,
- a finite set  $S$  of **sorts (nonterminals)** including a set  $BS$ ,
- a set  $Z$  of **terminals** that includes the carriers of  $BG$ ,
- a finite set  $P$  of **rules (productions)** of the form  $s \rightarrow w$  with  $s \in S \setminus BS$  and  $w \in (S \cup Z \setminus BG)^*$ .

The constructor signature

$$\Sigma(G) = (S, F, B\Sigma)$$

with

$$F = \left\{ f_p : s_1 \dots s_n \rightarrow s \mid \begin{array}{l} p = (s \rightarrow w_0 s_1 w_1 \dots s_n w_n) \in P, \\ w_0, \dots, w_n \in Z^*, s_1, \dots, s_n \in S \end{array} \right\}$$

is called the **abstract syntax of  $G$** .

$\Sigma(G)$ -terms are called **syntax trees of  $G$** .

## $Word(G)$ , the word algebra of $G$

For all  $s \in S$ ,

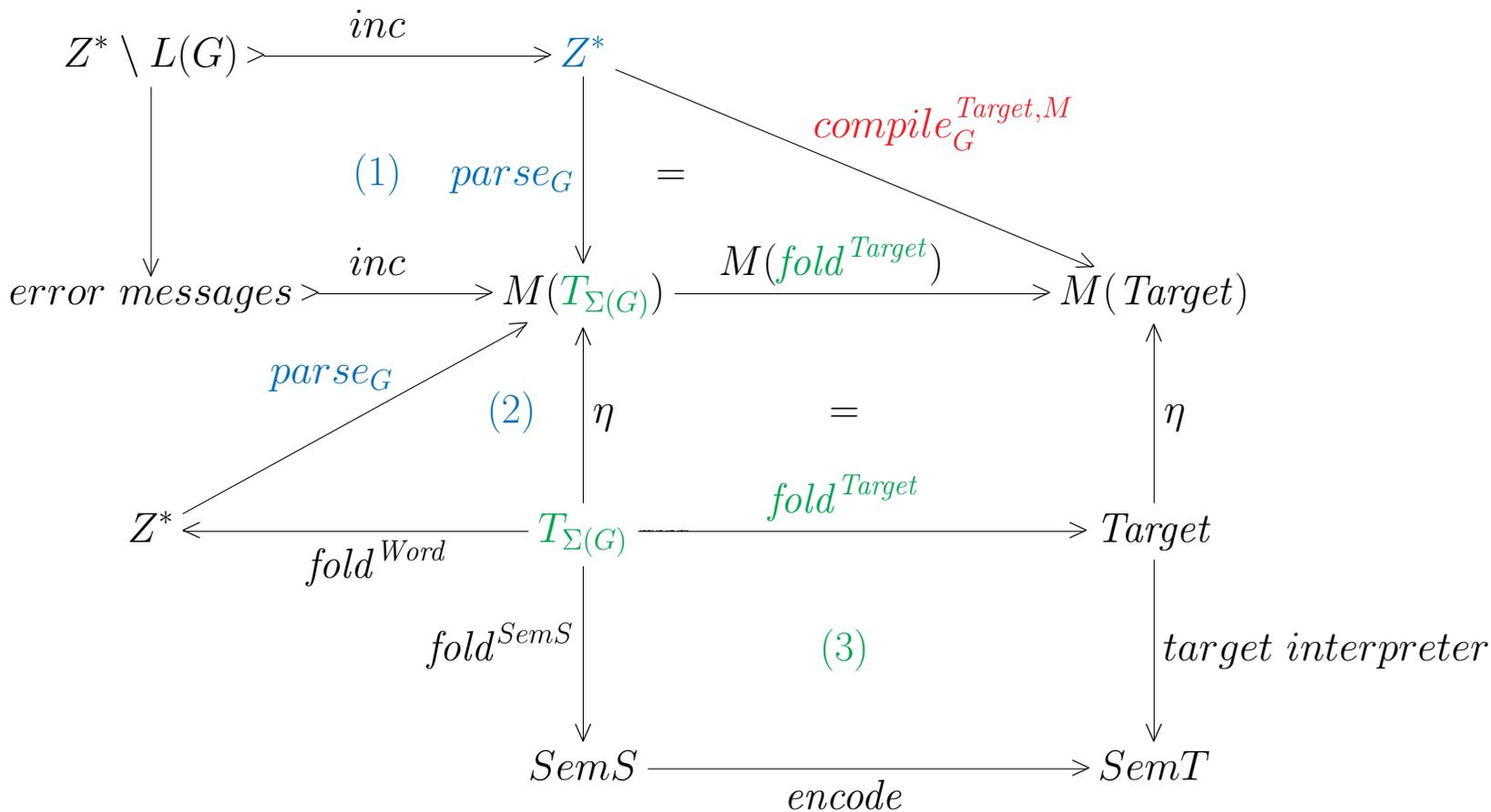
$$Word(G)_s =_{def} \begin{cases} BG_s & \text{if } s \in BS, \\ Z^* & \text{otherwise.} \end{cases}$$

For all  $p = (s \rightarrow w_0 s_1 w_1 \dots s_n w_n) \in P$  with  $w_0, \dots, w_n \in Z^*$  and  $s_1, \dots, s_n \in S$ ,

$$\begin{aligned} f_p^{Word(G)} : Word(G)_{s_1} \times \dots \times Word(G)_{s_n} &\rightarrow Word(G)_s \\ (v_1, \dots, v_n) &\mapsto w_0 v_1 w_1 \dots v_n w_n \end{aligned}$$

The **language**  $L(G)$  of  $G$  is the image of  $T_{\Sigma(G)}$  under  $fold^{Word(G)}$ : For all  $A \in S \setminus BS$ ,

$$L(G)_s =_{def} \{fold^{Word(G)}(t) \mid t \in T_{\Sigma(G),s}\}.$$



$compiler_G$  = generic compiler for  $G$

$M$  = monad with unit  $\eta$   $M$  determines error messages and the number of results

$parse_G$  = parser for  $G$

(1) = completeness (2) = correctness

$fold^{Target}$  = syntax-tree compiler

(3) = correctness

$SemS$  = source language semantics

$SemT$  = target language semantics

## Derivative parser for regular expressions

  $T_{Reg}(BA)$  is an *Accept-algebra*: For all  $x, y \in Z$  and  $R, R' \in T_{Reg,reg}$ ,

$$\begin{aligned}\delta^T(\emptyset, x) &= \emptyset, \\ \delta^T(\epsilon, x) &= \emptyset, \\ \delta^T(x, y) &= \text{if } x = y \text{ then } \epsilon \text{ else } \emptyset, \\ \delta^T(R|R', x) &= \delta^T(R, x) \mid \delta^T(R', x), \\ \delta^T(R \cdot R', x) &= \delta^T(R, x) \cdot R' \mid \text{if } \text{final}^T(R) \text{ then } \delta^T(R', x) \text{ else } \emptyset, \\ \delta^T(\text{star}(R), x) &= \delta^T(R, x) \cdot \text{star}(R),\end{aligned}$$

$$\begin{aligned}\text{final}^T(\emptyset) &= \text{False}, \\ \text{final}^T(\epsilon) &= \text{True}, \\ \text{final}^T(x) &= \text{False}, \\ \text{final}^T(R|R') &= \text{final}^T(R) \vee \text{final}^T(R'), \\ \text{final}^T(R \cdot R') &= \text{final}^T(R) \wedge \text{final}^T(R'), \\ \text{final}^T(\text{star}(R)) &= \text{True}.\end{aligned}$$

The derivate parser:

$$T_{Reg,reg} \times Z^* \xrightarrow{(\delta^*)^T} T_{Reg,reg} \xrightarrow{\text{final}^T} \text{Bool}$$

Moreover, the unique  $Reg\downarrow BA$ -homomorphism

$$fold^{Lang(BA)} : T_{Reg}(BA) \rightarrow Lang(BA)$$

is  $Accept\downarrow BA$ -homomorphic.

Hence  $fold^{Lang(BA)}$  agrees with the unique  $Accept\downarrow BA$ -homomorphism

$$unfold^{T_{Reg}(BA)} : T_{Reg}(BA) \rightarrow Lang(BA).$$

Hence **the derivative parser is correct**, i.e., for all  $R \in T_{Reg}(BA)$  and  $w \in Z^*$ ,

$$parse^T(R, w) = True \iff w \in L(R) = fold^{Lang(BA)}(R),$$

and

**the greatest  $Accept$ -congruence on  $T_{Reg}(BA)$ ,  $kernel(unfold^{T_{Reg}(BA)})$ , agrees with  $kernel(fold^{Lang(BA)})$  and thus is a  $Reg$ -congruence**

and

**the least  $Reg$ -invariant of  $Lang(BA)$ ,  $image(fold^{Lang(BA)})$ , agrees with  $image(unfold^{T_{Reg}(BA)})$  and thus is an  $Accept$ -invariant.**

## Context-free grammars are systems of *Reg*-equations

Let  $X$  be an  $S$ -sorted set of variables. An  $S$ -sorted function

$$E : X \rightarrow T_{\Sigma}(X)$$

is called a system of **recursive  $\Sigma$ -equations**.

$E$  is **ideal** if for all  $x \in X$   $E(x) \notin X$ .

Let  $A$  be a  $\Sigma$ -algebra.  $E$  induces the **step function**

$$\begin{aligned} E_A : A^X &\rightarrow A^X \\ f &\mapsto \lambda x. E(x)^A(f) \end{aligned}$$

Fixpoints of  $E_A$  coincide with **solutions of  $E$  in  $A$** .

Let  $G = (S, Z, P, B\Sigma, BG)$  be a context-free grammar. We add the base sorts as *reg*-constants to *Reg*. The *Reg*-algebra *Lang* interprets  $s : \epsilon \rightarrow \text{reg}$  by  $BG_s$ .

$G$  can be represented as an ideal system of recursive *Reg*-equations:

$$\begin{aligned} E(G) : S \setminus BS &\rightarrow T_{Reg}(S \setminus BS) \\ s &\mapsto \sum_{s \rightarrow \varphi \in P} \varphi \end{aligned}$$

$$\begin{aligned} \beta : S \setminus BS &\rightarrow Lang \\ s &\mapsto L(G)_s \end{aligned}$$

is the least solution of  $E(G)$  in *Lang*.

If  $G$  is non-left-recursive ( $s \not\rightarrow_G^+ sw$ ), then there is exactly one solution of  $E(G)$  in *Lang*.

Is used for proving that a given language coincides with  $L(G)$ .

## Extending the derivative parser to parsers for CFGs

Let  $R\Sigma$  be the union of  $Reg$ ,  $Accept$ , the sort  $word$ ,  $S$  as additional  $reg$ -constants and the following function symbols:

$$\begin{aligned} parse & : reg\ word \rightarrow Bool \\ \delta^* & : reg\ word \rightarrow reg \\ [] & : \epsilon \rightarrow word \\ \_ : \_ & : symbol\ word \rightarrow word \\ reduce & : reg \rightarrow reg \\ ite & : Bool\ reg\ reg \rightarrow reg \\ eq, in & : symbol\ symbol \rightarrow symbol \\ \vee, \wedge & : Bool\ Bool \rightarrow Bool \end{aligned}$$

The parser is a set  $Red$  of rewrite rules between  $R\Sigma$ -terms over the set  $X = \{R, R', w, x\}$  of variables:

$$\begin{aligned} parse(R, w) & \rightarrow final(\delta^*(R, w)) \\ \delta^*(R, x : w) & \rightarrow \delta^*(reduce(\delta(R, x)), w) \\ \delta^*(R, []) & \rightarrow R \\ \delta(\emptyset, x) & \rightarrow \emptyset \\ \delta(\epsilon, x) & \rightarrow \emptyset \end{aligned}$$

$$\begin{aligned}
\delta(a, x) &\rightarrow \text{ite}(\text{eq}(a, x), \epsilon, \emptyset) \quad \text{for all } a \in Z \setminus BA \\
\delta(s, x) &\rightarrow \text{ite}(x \text{ in } A, \epsilon, \emptyset) \quad \text{for all } s \in BS \\
\delta(s, x) &\rightarrow \delta(E(G)(s), x) \quad \text{for all } s \in S \setminus BS \\
\delta(R|R', x) &\rightarrow \delta(R, x) \mid \delta(R', x) \\
\delta(R \cdot R', x) &\rightarrow \delta(R, x) \cdot R' \mid \text{ite}(\text{final}(R), \delta(R', x), \emptyset) \\
\delta(\text{star}(R), x) &\rightarrow \delta(R, x) \cdot \text{star}(R) \\
\text{final}(\emptyset) &\rightarrow \text{False} \\
\text{final}(\epsilon) &\rightarrow \text{True} \\
\text{final}(a) &\rightarrow \text{False} \quad \text{for all } a \in BS \cup Z \setminus BA \\
\text{final}(s) &\rightarrow \text{final}(E(G)(s)) \quad \text{for all } s \in S \setminus BS \\
\text{final}(R|R') &\rightarrow \text{final}(R) \vee \text{final}(R') \\
\text{final}(R \cdot R') &\rightarrow \text{final}(R) \wedge \text{final}(R') \\
\text{final}(\text{star}(R)) &\rightarrow \text{True} \\
\text{ite}(\text{True}, R, R') &\rightarrow R \\
\text{ite}(\text{False}, R, R') &\rightarrow R' \\
\text{eq}(x, x) &\rightarrow \text{True} \\
\text{eq}(a, b) &\rightarrow \text{False} \quad \text{for all } a, b \in Z \text{ with } a \neq b \\
a \text{ in } s &\rightarrow \text{True} \quad \text{for all } s \in BS \text{ and } a \in BA_s \\
a \text{ in } s &\rightarrow \text{False} \quad \text{for all } s \in BS \text{ and } a \in Z \setminus BA_s
\end{aligned}$$

Let  $A$  be an  $R\Sigma$ -algebra. A rewrite rule  $t \rightarrow u$  is **correct w.r.t.  $A$**  if  $t^A = u^A$ .

We extend  $Lang$  to an  $R\Sigma$ -algebra by defining for all  $s \in S$ :

$$s^{Lang} =_{def} \begin{cases} BG_s & \text{if } s \in BS, \\ L(G)_s = fold^{Lang}(E(G)(s)) & \text{otherwise,} \end{cases}$$

and interpreting the above function symbols in the obvious way.

**All rewrite rules of  $Red$  are correct w.r.t.  $Lang$ .**

**If  $G$  is non-left-recursive, then the parser given by  $Red$  is correct**, i.e., for all  $s \in N \setminus BS$  und  $w \in Z^*$ ,

$$parse(s, w) \xrightarrow{+}_{Red} \begin{cases} True & \text{if } u \in L(G)_s, \\ False & \text{otherwise.} \end{cases} .$$